## JC02 Rec'd PCT/PTO 11 MAY 2005

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NUMBER ORK 2TO-1390 (Modified) TRANSMITTAL LETYER TO THE UNITED STATES 94326 S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) U/534946 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP03/14509 14 November 2003 14 November 2002 TITLE OF INVENTION SILICON WAFER, ITS MANUFACTURING METHOD, AND ITS MANUFACTURING APPARATUS APPLICANT(S) FOR DO/EO/US Takashi YOKOYAMA; Koji YOSHIHARA; Toshiaki SAISHOJI; Kozo NAKAMURA; Ryota SUEWAKA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  $\mathbf{X}$ 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. П 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. The US has been elected (Article 31). 4.  $\Box$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). b. 🗵 has been communicated by the International Bureau. C. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. a. 🛛 is attached hereto. b. 🗌 has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. 🔲 are attached hereto (required only if not communicated by the International Bureau). b. 🗆 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d.  $\square$ have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). X 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13.  $\boxtimes$ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14.  $\boxtimes$ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  $\boxtimes$ 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  $\boxtimes$ 22. Express Mail Label No. EV 555 559 773 US 23.  $\boxtimes$ Other items or information: Application Data Sheet; Certificate of Express Mail; postcard

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JC20 Rec'd PCT/PTO 1 1 MAY 2005, 10 (Rev. 12-2004)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APTIOTION TO TROWN Set 376 FR 1.5)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
			PCT/JP(	PCT/JP03/14509				94326		
	24. The following fees are submitted:						Applicant use		Office use	
						\$	\$300.00			
図 b) Examination fee							\$	\$200.00		
⊠ c) Search fee							\$	\$500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00							\$	\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra Sheets		each additional 50 or RATE of (round <b>up</b> to a whole							
106 - 100 =	6 /50 =		1		x	\$250.00	S	\$250.00		
Surcharge of \$13 earliest claimed p	Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).						s	\$0.00		
CLAIMS	NUMBER F	ILED N	IUMBER EXTRA		RA	TE				
Total claims	21	- 20 =	1	х		\$50.00	\$	\$50.00		
Independent clair	ns 9	- 3=	6	x		\$200.00	\$	\$1,200.00		
MULTIPLE DEPE	NDENT CLAIMS	(if applicable)		+		\$360.00	\$	\$0.00		
		TOTA	L OF ABOVE CA	ALC	ULA	ATIONS =	\$	\$2,500.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$	\$0.00		
				S	UB	TOTAL =	\$	\$2,500.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$	\$0.00	<del></del>	
TOTAL NATIONAL FEE =							\$	\$2,500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +							\$	\$40.00		
TOTAL FEES ENCLOSED =						\$	\$2,540.00			
Amount to be refunded:						refunded:			\$	
Amount to be charged:						charged:			\$	
a. 🛛 A check	in the amount of \$	\$2,540.0	to cover the a	bove	fees	is enclosed.				
b. Please charge my Deposit Account No in the amount of to cover the above fees. A duplicate copy of this sheet is										
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0920 . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:								- Ruth	Δ	
Gerald T. Shekleton SIG						SIGNATURE	RE			
WELSH & KATZ, LTD. 120 South Riverside Plaza —					Gerald T. Shekleton					
22nd Floor	***					NAME				
_	nicago, Illinois 60606 27,466					27,466				
(312) 655-1500 REGISTRA						REGISTRAT	TION NUMBER			

CERTIFICATE OF MAILING BY "EXPRESS I	Docket No.		
Applicant(s): Takashi YOKOYAMA et al.			94326
Serial No. 74946 Filing Date May 11, 2005	Examiner	Gr	oup Art Unit
1 Upassible 4 4 4 6 May 11, 2005	Unassigned	Un	assigned

Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371; copy of WO 2004/044278; Preliminary Amendment; Abstract Page; copy of International Search Report; copy of International Examiners Report; Declaration and Power of Attorney' Assignment Cover Sheet; Assignment; Information Disclosure Statement; Form PTO/SB/08A including cited references; Application Data Sheet; Certificate of Express Mail; check; and Return Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-0001 on May 11, 2005.

Shannon Wooten

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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